

COMPLETED

PATENT APPLICATION
Docket No.: 1021.2009-005

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Dep & Ref

Applicants: Ravindra Datta and Jingxin Zhang
Application No.: 10/566,405 Group Art Unit: Not yet assigned
Int'l. Filing Date: July 23, 2004 Examiner: Not yet assigned
Confirmation No.: Not yet assigned
For: ELECTROCHEMICAL PREFERENTIAL OXIDATION OF CARBON
MONOXIDE FROM REFORMATE



CERTIFICATE OF MAILING OR TRANSMISSION	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or is being facsimile transmitted to the United States Patent and Trademark Office on:	
4/12/2006	<i>Mary J. Dawson</i>
Date	Signature
Mary J. Dawson	
Typed or printed name of person signing certificate	

TRANSMITTAL OF SMALL ENTITY STATEMENT
AND REQUEST FOR REIMBURSEMENT

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Please file the enclosed Small Entity Statement in the above-identified patent application.

Applicants' Attorney respectfully requests a reimbursement of \$1,225.00, one-half of the total fees paid on January 25, 2006, to be deposited in Deposit Account No. 08-0380.

This request is made within the three-month period allowed for such reimbursement.

A copy of this letter is enclosed for accounting purposes.

Respectfully submitted,

HAMILTON, BROOK, SMITH &
REYNOLDS, P.C.

By

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Dated: 4/12/06

**STATEMENT CLAIMING SMALL ENTITY STATUS
(37 CFR 1.27(a))-NONPROFIT ORGANIZATION**

DOCKET NUMBER: 1021.2009-005

Applicant or Patentee: Ravindra Datta and Jinxin Zhang
 Application or Patent No.: 10/566,405
 International Filing Date: July 23, 2004
 Title: ELECTROCHEMICAL PREFERENTIAL OXIDATION OF CARBON MONOXIDE FROM REFORMATE

I hereby state that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF NONPROFIT ORGANIZATION Worcester Polytechnic Institute
 ADDRESS OF NONPROFIT ORGANIZATION 100 Institute Road
Worcester, Massachusetts 01605

TYPE OF NONPROFIT ORGANIZATION:

- ☒ UNIVERSITY OR OTHER INSTITUTION OF HIGHER EDUCATION
☐ TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) and 501(c)(3))
☐ NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED STATES OF AMERICA
 (NAME OF STATE _____)
 (CITATION OF STATUTE _____)
☐ WOULD QUALIFY AS TAX EXEMPT UNDER INTERNAL REVENUE SERVICE CODE (26 U.S.C. 501(a) and 501(c)(3)) IF
 LOCATED IN THE UNITED STATES OF AMERICA
☐ WOULD QUALIFY AS NONPROFIT SCIENTIFIC OR EDUCATIONAL UNDER STATUTE OF STATE OF THE UNITED
 STATES OF AMERICA IF LOCATED IN THE UNITED STATES OF AMERICA
 (NAME OF STATE _____)
 (CITATION OF STATUTE _____)

I hereby state that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 CFR 1.27(a)(3) for purposes of paying reduced fees to the United States Patent and Trademark Office regarding the invention described in:

- ☐ the specification filed herewith with title as listed above.
☒ the application identified above.
☐ the patent identified above.

I hereby state that the rights under contract or law have been conveyed to and remain with the nonprofit organization regarding the above identified invention. If the rights held by the nonprofit organization are not exclusive, each individual, concern, or organization having rights in the invention must file separate statements as to their status as small entities. No rights to the invention are held by any person who would not qualify as a person under 37 CFR 1.27(a)(1) or by any concern which would not qualify as a small business concern under 37 CFR 1.27(a)(2) or a nonprofit organization under 37 CFR 1.27(a)(3).

Each additional person, concern, or organization having any rights in the invention is listed below:

- ☒ no such person, concern, or organization exists.
☐ each such person, concern, or organization is listed below.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.27(g)(2))

NAME OF PERSON SIGNING Michael B. Manning
 TITLE IN ORGANIZATION OF PERSON SIGNING Director Technology Transfer
 ADDRESS OF PERSON SIGNING 100 Institute Rd., Worcester, MA 01609
 SIGNATURE [Signature] DATE 4/5/06